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AUTHOR Oxford-Carpenter, Rebecca; Schultz-Shiner, Linda
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ABSTRACT

This paper addresses practical Army problems in reading assessment from a theory base reflecting the most recent research on reading comprehension. Military and occupational research shows that reading proficiency is related to job performance. Reading assessment is a key issue in the Army due to changes in the reading ability levels of the Army population. Three current types of reading theory have important implications for reading assessment in terms of appropriate validity, reliability, norms, and standards: (1) perceptual-cognitive theory; (2) information processing theories; and (3) the emerging interactive-inferential theory. Commercial reading tests used by the Army, such as the Adult Basic Literacy Examination, the Test of Adult Basic Education, the Nelson-Denny Reading Test, and the Metropolitan Achievement Test (Reading, Form D) differ widely in psychometric characteristics and overall quality when evaluated using theory-based standards. The Armed Services Vocational Aptitude Battery (ASAB) correlates highly with various reading tests, but should be used with caution as a reading surrogate. The Army should consider using the available alternatives to grade equivalent scores. (BS)

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MILITARY READING ASSESSMENT:
WHAT THEORY TELLS US

Rebecca Oxford-Carpenter
O-C Associates, Inc.

Linda Schultz-Shiner
Department of the Army

(Both formerly of U.S. Army Research Institute)

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MILITARY READING ASSESSMENT: WHAT THEORY TELLS US

The U.S. Army Research Institute for the Behavioral and Social Sciences (ARI) has been involved in research on reading assessment in the Army from both practical and theoretical perspectives. The purpose of this paper is to address practical Army problems in reading assessment from a theory base that reflects the most recent and most sound research on reading comprehension. This paper has seven sections. The first two sections, which concern the importance of reading in work life and the importance of reading assessment in the Army, provide the basis for addressing the overall issue of reading assessment in the Army. The reading theories presented in the third section are helpful in generating evaluative criteria for reviewing potential measures of reading for Army use. In the fourth and fifth sections, three categories of measures are considered: civilian, military, and the special case of the Armed Services Vocational Aptitude Battery (ASVAB)--a military test of general cognitive ability with potential value as a surrogate reading measure. The sixth section deals with scoring issues that need to be addressed no matter what measure is used. The final section offers conclusions based on both theory and practice.

Reading Is Important in Military and Civilian Work Life

Military research on reading has shown that reading is an important part of military life. Sacher and Duffy (1978) found that military workers' overall job performance suffered if they read more than two grade levels below job demands. Sticht (1980) observed a low generalizability of general reading skills to specific literacy tasks in the military and emphasized the need for job-related reading tests. Sticht (1982) investigated empirical relations between reading proficiency and job proficiency in the military setting and found that the armed services pose demands for basic skills equal to or greater than those of civilian jobs. Even with higher enlistment standards, many military recruits are below average in basic skills, including not only reading but also other skills. However, Sticht (1982) found that basic skills competence as measured by a variety of tests did not appear to be the overriding determinant of success in the military. The precise relationship between reading skill and job skill needs further investigation.

In addition to military research, civilian research may have some implications for Army literacy. Diehl and Mikulecky (1980) observed 100 workers representing a cross-section of occupations and found that 90% of the workers participated in some form of reading each day. In a later study, Mikulecky (1982) compared high school reading to work reading and found that students read less for school than most workers did for work. Workers read more often for application or to make judgments, while students read to gather facts. Mikulecky and Strange (in preparation) noted that the workplace calls for a wider range of literacy strategies than does school literacy, 95% of which is based on textbooks. These civilian research results may by implication underscore the importance of reading in many, if not all, military jobs.

Reading Assessment Is Important in the Army

In the last few years reading assessment has become a key issue in the Army primarily because of changes in the reading ability levels of the Army population. Population shifts are in turn related to a multitude of factors, such as changes in the national economic picture and variations in norming of the ASVAB used for Army selection and classification. In recent years the Training and Doctrine Command (TRADOC), the Forces Command (FORSCOM), the Education Directorate of The Adjutant General's Office (TAGO), and the Office of the Deputy Chief of Staff for Personnel (ODCSPER) have all turned to ARI for practical advice on reading assessment. For example, both TRADOC and FORSCOM have asked for ARI's help in measuring the reading level of noncommissioned officers (NCOs). TRADOC Systems Analysis Activity (TRASANA) has requested assistance in interpreting its data on reading tests of officers and enlisted personnel. TRADOC is currently establishing a program to improve officers' communication skills and has asked for ARI's advice on the use of reading tests as part of the program. TAGO is funding an ARI project, known as the Job Skills Education Program (JSEP), a computer-based effort that involves the assessment of job-oriented basic skills. ARI has also advised ODCSPER on the difficulties of using reading as a reenlistment criterion for midterm noncommissioned officers.

Army agencies often ask the following questions about reading assessment: "What reading tests can we use?" "What do our reading test scores mean?" and "Since ASVAB scores relate to reading test scores, can the ASVAB be used as a substitute for a reading measure?" Recent requests for assistance have not focused on the more central question, "How does reading proficiency relate to job proficiency?" The last question--perhaps the most crucial question for Army reading assessment--is an empirical one that research has not yet answered adequately. However, both theory and research provide answers to the first three questions. Before addressing these questions in detail, we will summarize several key types of reading theory and indicate their implications for reading assessment.

Three Types of Reading Theory Are Examined

Many theories of reading exist. One theory unites perceptual and cognitive elements. A second set of theories relates to information processing. A third theory, the most recent, concerns inferencing in an interactive mode. We will describe the essence of these theories and their implications for reading assessment. The greatest emphasis is on the last theory because of its major implications for reading assessment.

Perceptual-Cognitive Theory Involves Strategies

Gibson and Levin (1975) presented a theory that is both perceptual and cognitive. Although they stressed most heavily the perceptual underpinnings of the reading process, these researchers also indicated that psychological processes of mature reading go far beyond perception to remembering, problem solving, and organization of conceptual knowledge for better extraction of

meaning. Two implications of perceptual-cognitive theory for reading assessment are (1) the cognitive strategies that help people improve their reading can also be used to help people perform better on reading tests, and (2) these strategies are not innate but can be taught.

Information Processing Theories Analyze Reading Components

As noted by Carpenter and Just (in preparation), information processing is characterized by efforts to understand what information is represented in memory, what information is acquired, how processes are acquired and invoked, how long these processes take, and what sources of error exist. A major contribution of information processing theory is that it acknowledges the role of environment (not just previously acquired knowledge and thought patterns) in explaining reading behavior. The information processing model of Sticht, Beck, Hauke, Kleiman, and James (1974) emphasizes the interaction between the environment and cognitive components such as sensory information storage, short-term memory, and long-term memory to generate literacy skills. Massaro's (1975) information processing model of reading delineates four component processes or stages between the language stimulus and the meaning response: feature detection (sensation), primary recognition (perception), secondary recognition (conception), and recoding and rehearsal. Information processing analyzes reading into component processes; however, the parts are not always easily reconstituted into the reading act (Carpenter & Just, in preparation). Information processing theory is now being used in computer-reading simulations, in which successful computer programs must have a great deal of "knowledge" about vocabulary, language structure, and the topic of the text. Carpenter and Just (in preparation) described some recent computer simulations of reading based on information processing.

Information processing theories of reading have some implications for reading assessment. First, these theories imply that environment and short- and long-term memory are very important in the reading process and that therefore reading is not a simple thing to measure. Second, one application of information processing theory (that of Sticht et al., 1974) has shown that reading tests need to be functional (i.e., related to the person's environment) for results to be most meaningful.

Interactive-Inferential Theory Provides a New Perspective

Although the emerging reading theory lacks a consistent, descriptive name, we will call it interactive-inferential theory. This theory builds largely on the information processing model but also goes beyond it by demonstrating the interaction between higher order, interpretive, metacognitive processes and less complex processes. Interactive-inferential theory focuses on interactive rather than linear movement in reading and has generated a spate of research that centers on reading comprehension instead of decoding. We devote more time to interactive-inferential theory than to earlier theories, because it offers a more "evolved" view and a number of implications for reading assessment. According to this theory, reading (1) is an active process in which the reader constructs meaning through inference and interpretation, (2) is purposeful and hence involves motivation, (3) can be

improved through use of cognitive and learning strategies, (4) is processed by the individual through interactions among several levels of information, and (5) can be divided into a set of subskills that fit into a unified process but that are not as yet fully defined or understood (Farr, Carey, & Tone, in preparation; J. Orasanu, personal communication, January 12, 1984). Each of these characteristics of reading is discussed below, along with its implications for reading assessment.

Action, interpretation, and inference. The reader actively constructs meaning from text cues by calling on knowledge of language, text structure, writing conventions, and the topic itself. The reader applies inference and interpretation to go beyond what is given (Farr, Carey, & Tone, in preparation). Researchers have studied text cues through methods of text analysis and text linguistics. The active, inferential, interpretive nature of reading creates at least seven implications for reading assessment.

First, reading comprehension tests may be most valid when they are functional, that is, when they involve materials similar to those needed to perform everyday and vocational tasks (Miller, 1973). "Reading assessment ought to reflect the schema domains, syntax, vocabulary, style, and structure of materials that will need to be read by the individuals taking the tests" (Farr, Carey, & Tone, in preparation, p. 24). As a consequence, job-relevant reading tests of a criterion-referenced nature (i.e., keyed to a specified criterion or standard of performance) may be more useful for Army populations than are other kinds of reading tests, at least for the purpose of assessing comprehension. However, if a test is given with the intent of ranking group members and not with the intent of carefully assessing comprehension, then it might be acceptable to use a norm-referenced, general reading test that is not job related.

Second, in order to employ a somewhat uniform cognitive schema, reading tests need to be developed, tested, and normed, using populations similar to those who will take the test. For example, an adult reading test should not be developed using just children, and items developed for children may not be relevant for adults. These facts, while seemingly straightforward, are overlooked with surprising frequency in the armed forces.

Third, the active, inferential, interpretive characteristic of the new theory implies that tests should be appropriate to the examinees insofar as possible. Therefore, adaptive (tailored) testing may be useful. Adaptive testing allows the individual to take only items that are at a relevant difficulty level and may shorten test administration time (McBride, 1979). The joint services are now designing and testing for the ASVAB computerized adaptive testing, which could be used for adult reading tests as well.

Fourth, because readers of various cultural backgrounds can interpret reading passages differently and come to different conclusions, test bias (known as "differential validity") can occur unless background knowledge is controlled or explained. However, because reading comprehension depends greatly on background knowledge, such knowledge cannot be artificially factored out or eliminated by using esoteric content (Farr, Carey, & Tone, in preparation).

Fifth, reading tests of literal recall are not as revealing or lifelike as reading tests that demand some sort of inferencing. Old-fashioned tests of literal recall are therefore to be avoided.

Sixth, the theory's encouragement of relevance of the test to the examinee indicates that child-based scores such as reading grade levels (grade equivalents) are not useful for adults, although those scores are ubiquitous in the armed forces (Farr, Carey, & Tone, in preparation; Miller, 1973).

Seventh, text linguistics as used in the new theory can provide guidance on how to create more reliable reading test items, that is, items that accurately and consistently measure the skill in question. Sample guidelines include avoiding items that require stylistic and other ambiguous judgment; not testing for incidental, insignificant information; not using harder vocabulary in questions than in text; and avoiding list-like density of ideas in test items (J. Orasanu, personal communication, March 9, 1984).

In addition to the active, inferential, interpretive aspect of the new theory, other aspects--purposefulness, cognitive strategies, nonlinearity, and subskills--also have implications for reading assessment.

Purposefulness. The fact that reading is purposeful and involves motivation implies that reading test developers should select and present test passages that engage the reader in a valid purpose, not just the purpose of passing the test (Farr, Carey, & Tone, in preparation). The interest level and degree of relevance must be kept high to maintain the reader's sense of purpose. A negative sense of purpose (e.g., "If I don't pass this reading test I may not be allowed to reenlist") may have a detrimental effect on the performance of some readers but not of others. Functional reading tests might instill a greater sense of purpose than more general reading tests do.

Cognitive strategies. An implication of the existence of cognitive strategies is that such strategies can improve not only reading in general but also performance on reading tests. Different strategies useful for a variety of purposes and genres can be taught. Such strategies can develop readers' sensitivity and can thus improve comprehension of a passage in a reading test or any other text (Brown & Armbruster, in preparation). Cognitive strategies are also important in earlier theories, such as that of Gibson and Levin (1975).

Nonlinearity. Readers use interactions among several information levels, moving "top-down" and "bottom-up" and mixing higher order inference with simpler perceptual processes. Because of the nonlinear, complex interaction that occurs, reading should not be assessed as the automatic decoding of a sequential string of letters.

Subskills. The last few decades have seen a proliferation of subskills in reading tests (Farr, Carey, & Tone, in preparation), despite lack of adequate subskill definition, lack of a coherent reading theory from which subskills derive, and lack of a consensus on how many items are needed to measure a particular subskill. The new theory may yet lead to a better understanding of these subskills and how they can best be measured.

Summary of Theories

We have discussed three types of reading theory: perceptual-cognitive, information processing, and interactive-inferential. These theories all have important implications for reading assessment. Some measure of agreement exists across theories on the importance of examinee context, background knowledge, and cognitive strategies for reading. The last theory seems to be the most helpful in offering concrete implications for reading measurement. We will now discuss psychometric qualities of available reading tests in light of these implications.

Psychometric Characteristics of Available Tests Differ

In this section, the standards implied by reading theory will be applied to the review of reading tests currently available for Army use. This review will cover both commercially and militarily developed reading tests. Theory and practice tell us that good reading tests should have certain psychometric characteristics, including appropriate validity, reliability, norms, and standards. All reading theories imply the need for valid measures, that is, tests that measure what they purport to measure. The emerging interactive-inferential reading theory particularly emphasizes the need for a type of content validity (or at least content relevance) that reflects the schema the reader ordinarily employs. The need for reliability of measurement is implied in the text-linguistic basis of this new reading theory. Appropriate norms (for norm-referenced tests) and appropriate standards (for criterion-referenced tests) are also implicit in the emerging theory.

Armed Services Have Used Commercial Reading Tests

Standardized, norm-referenced, commercial reading tests have dominated the armed forces market in the past. Widely used tests have included the Adult Basic Literacy Examination (ABLE), the Test of Adult Basic Education (TABE), the Nelson-Denny Reading Test, and the Metropolitan Achievement Test (MAT), Reading, Form D. All of these tests have been reviewed elsewhere (Buros, 1972, 1975; Oxford-Carpenter & Schultz, 1983). We will provide a brief assessment of these tests in light of the standards implied by reading theory.

The ABLE is one of a few commercially available, psychometrically sound reading tests originally designed for and normed on adults. From a purely technical standpoint, the ABLE appears to be the best commercially developed reading test for Army use. The ABLE has well-documented reliability (high .80s and .90s). Concurrent validity is based on administration of the ABLE and the Stanford Achievement Test to elementary and junior high school students (.60-.76) and to an adult job corps group (.36-.72). The test was designed for adults with varying achievement levels and for adults who have not completed formal eighth-grade education. Norms are based on 6,000 elementary and junior high school students, 800 job corps members, and 450 adult basic education students. Vocabulary, reading, spelling, and arithmetic subtests are available in two parallel forms. The reading subtest focuses on comprehension. The ABLE was originally selected for use in the Army's Basic Skills

Education Program (BSEP). However, the test proved unpopular with some BSEP personnel for reasons related to administration not technical quality (Raines, 1983). The ABLE was replaced by the TABE for BSEP use about five years ago.

Though possessing some technical merit, the TABE was chosen more on practical than technical grounds. The TABE covers reading, language, and arithmetic; its reading subtest contains both vocabulary and comprehension. The test is actually a revision of the California Achievement Test (CAT), which was designed for children. TABE developers removed patently childish references from the CAT or changed them to adult references. TABE subtests have adequate reliability (in the high .70s through .90s). Validity documentation for the TABE is not compelling, because its concurrent validity is based on a correlation of .56 with the General Educational Development examination using a small sample. The idea of "inherited" validity from the CAT is spurious. Furthermore, the TABE lacks adult norms, and its use in testing adults in the Army has drawn strong criticism (Bachem, 1982). Clearly, key career decisions for soldiers should not be made on the basis of children's norms, such as those of the TABE. However, the TABE has been useful in BSEP for diagnosing soldiers' strengths and weaknesses.

The Army has frequently used the Nelson-Denny Reading Test and the MAT. Designed to assess reading for college placement and adult reading classes, the Nelson-Denny has adult norms. The MAT was given a new, Army cover and used as the U.S. Armed Forces Institute (USAFI) Reading Test with few changes in the civilian-to-military transformation. Its norm group includes no adults. For both tests reliability is strong, but validity is not. The Army has also occasionally used other commercial reading tests, such as the Gates-McGinitie Reading Test and the Iowa Test of Basic Skills.

These tests need to be evaluated on the basis of whether they provide appropriate validity, reliability, and norms demanded by reading theory and by practicality. The Army particularly needs to examine the validity of commercial reading tests in light of Army needs. Although a given reading test may be highly valid for a group of schoolchildren, it may not be valid for adults in an intense, job-oriented Army setting. Furthermore, the issue of standards is pertinent to commercial reading tests as used in the Army. Most commercial reading tests are purely norm referenced and do not advertise acceptable standards of performance. However, the Army has occasionally used these tests in a quasi-criterion-referenced way by designating a given grade equivalent test score as an acceptable minimum standard for soldiers. For example, ninth-grade reading level has become a magic number to some Army leaders. Before any standards are chosen, those standards need to be shown to correlate highly with Army job performance (not just with Army job materials). Insufficient research has been done in this fruitful area.

Armed Forces Have Developed Their Own Reading Tests

While the armed forces have commonly used commercial, norm-referenced reading tests, the military has also created reading tests. The Army has taken the lead in much of this test development. Throughout the 1970s and the early 1980s, the Army worked on a series of job-related reading tests for Army enlisted personnel (Claudy & Caylor, 1982; Sticht, 1975, 1982; Sticht, Hooke, &

Caylor, 1982; Sticht, Caylor, & James, 1978; and Sticht, Caylor, Kern, & Fox, 1971). These efforts produced the Job Reading Task Tests (JRRT) and the Job Reading Test (JRT), which are MOS-specific and emphasize functional literacy. Unlike the JRRT, the JRT is normed and machine-scorable. As mentioned earlier, the Army is currently involved in a massive test development undertaking under the Job Skills Education Program (JSEP), which will provide computerized, job-related testing in basic skills. The other armed services have also developed their own reading measures, but the efforts appear to have been independent rather than coordinated. A leading developer of Army reading tests stated that the Army's own reading tests have never been accepted because of lack of internal advocacy. Support existed for test development but not for test use (T. Sticht, personal communication, August 16, 1983).

An important merit of some reading tests developed by the military is also one deficiency: job-specificity. The emerging reading theory encourages the use of functional, job-related reading tests. However, because approximately 350 jobs exist in the Army alone, the task of developing just one test form per job is a monumental endeavor. Of course, to enhance reliability there should be several test forms per job. Furthermore, rapid technological change in job content means that job-related tests need to be continually updated. Such an endeavor is obviously very costly and difficult. Other options might be to develop reading tests for career management fields instead of jobs or to develop reading tests for only the highest density jobs. Even these options are not simple.

Given the issues associated with use of commercial and military reading tests, the Army has occasionally sought a surrogate measure of reading, a test that can be said to measure reading while not actually measuring it. Many Army administrators have looked longingly toward the ASVAB as such a surrogate reading test.

ASVAB Scores Are Correlated with Reading Test Scores

Because most reading tests at present cannot adequately account for the role of such factors as background knowledge and reasoning ability, such tests may be seen as measures of intelligence and experience as well as measures of reading ability (Farr, Carey, & Tone, in preparation). Consequently, it is not surprising that reading tests are often highly correlated with general measures of aptitude, intelligence, or "trainability" (Jensen, 1981), such as the ASVAB. Like similar tests, the ASVAB is "reading-dependent," that is, reading is necessary but not sufficient to perform well on the test. Moderate to large correlations have been demonstrated between the ASVAB and several reading tests. For example, Sticht (1975) showed a .65 correlation between an unspecified reading test and the Armed Forces Qualifying Test (AFQT), which covers four key ASVAB subtests. The Job Reading Task Tests developed by Sticht for the Army also have moderate (in the .50s and .60s) correlations with the AFQT (Sticht, 1975). Fischl (1981) found that the USAFI Reading Test correlated .80-.95 with various composites of the ASVAB and with the total ASVAB for a sample of 600 soldiers. In a recent investigation involving 2,385 Army and Marine recruits, a .85 correlation was found between the ABLE and the

General Technical (GT) composite of the ASVAB (P. Grafton, personal communication, August 15, 1983). Among several groups of soldiers whose English proficiency was limited and who were headed for Army English-as-a-second-language (ESL) training, Oxford-Carpenter (1982) found very low correlations (in the teens and .20s) between the ABLE and a number of ASVAB composites. After ESL instruction, the correlation between the same soldiers' ABLE and ASVAB scores rose to the .40s and .50s. In summary, reading tests have been shown to correlate moderately to highly with the ASVAB as long as language problems (for individuals with limited proficiency in English) do not intervene.

A large verbal element clearly exists in the ASVAB (Valentine, n.d.), and some researchers have conjectured that the ASVAB indirectly measures reading ability. In fact, the Army has devised a so-called literacy index for the ASVAB by equating the ASVAB-GT with a composite of three ABLE subtests, reading, vocabulary, and arithmetic reasoning (P. Grafton, personal communication, August 15, 1983). The index involves ABLE grade equivalent scores, which, while apparently simple, have some complexities that are discussed next.

Grade Equivalent Scores Can Be Misleading

Grade equivalent scores, popularly known in the military as reading grade levels when applied to reading tests, are the most used and abused of all score types. The emerging interactive-inferential reading theory implies that reading tests should be as appropriate as possible to the examinees. Therefore, use of grade equivalent scores with adults is potentially misleading (Miller, 1973). Bachem denounced the use of grade equivalent scores in the military setting: "The use of elementary school grade levels to categorize adult combat soldiers seems little short of an insult, no matter how desperate their need for remedial work may be" (Bachem, 1982, p.4). Grade equivalent scores are not applicable to high school or adult levels because these scores have ambiguous meaning beyond the earlier years of constant growth (Ysseldyke & Marston, 1982), and because they compare adults not with their peers but with children. Even using grade equivalent scores with children can be misleading (Oxford-Carpenter & Schultz, 1983). Scores other than grade equivalents are abundant, and many are potentially useful for reading assessment in the Army. Some useful norm-referenced scores include percentile ranks, standard scores, stanines, and some types of adjusted gain scores. Criterion-referenced scores, which are frequently encountered in Army training programs, include number of objectives mastered or passed, number of trials to mastery, and time to mastery. These scores may also be useful for Army reading measurement. The emerging theory of reading suggests that criterion-referenced scores may be very helpful, because criterion-referenced tests are geared toward specific objectives and have relevant standards and may therefore be more germane than other types of tests. However, the theory does not rule out use of norm-referenced tests and their associated scores.

Six Major Conclusions Are Reached

Six major conclusions may be drawn from both theory and practice. First, reading is important in military and civilian work life. Second, reading assessment is a highly visible and important issue in the Army. Third, reading theories--especially the interactive-inferential theory--can positively influence reading measurement practices in the Army. Fourth, reading tests differ widely in terms of psychometric characteristics and overall quality as evaluated using theory-based standards. Fifth, high correlations exist between the ASVAB and various reading tests, although caution needs to be exercised in using any part of the ASVAB as a reading surrogate. Sixth, alternatives to grade equivalent scores are available and should be considered for use by the Army.

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